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26646 7590 04/18/2008 KENYON & KENYON LLP ONE BROADWAY NEW YORK, NY 10004				
EXAMINER				
NORTON, JENNIFER L				
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Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

Office Action Summary

Application No.

10/530,613

Applicant(s)

LIETZAU, KLAUS

Examiner

JENNIFER L. NORTON

Art Unit

2121

Period for Reply -- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

Status

- 1) ☒ Responsive to communication(s) filed on 07 April 2005.
- 2a) ☐ This action is **FINAL**. 2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

Disposition of Claims

- 4) ☒ Claim(s) 21-36 is/are pending in the application.
- 4a) Of the above claim(s) _____ is/are withdrawn from consideration.
- 5) ☐ Claim(s) _____ is/are allowed.
- 6) ☒ Claim(s) 21-36 is/are rejected.
- 7) ☐ Claim(s) _____ is/are objected to.
- 8) ☐ Claim(s) _____ are subject to restriction and/or election requirement.

Application Papers

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 07 April 2005 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.
- Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).
- Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

Priority under 35 U.S.C. § 119

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All b) ☐ Some * c) ☐ None of:
1. ☐ Certified copies of the priority documents have been received.
 2. ☐ Certified copies of the priority documents have been received in Application No. _____.
 3. ☒ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

* See the attached detailed Office action for a list of the certified copies not received.

Attachment(s)

- 1) ☒ Notice of References Cited (PTO-892)
- 2) ☐ Notice of Draftsperson's Patent Drawing Review (PTO-948)
- 3) ☒ Information Disclosure Statement(s) (PTO-85/86)
- Paper No(s)/Mail Date 07 April 2005
- 4) ☐ Interview Summary (PTO-413)
- Paper No(s)/Mail Date _____
- 5) ☐ Notice of Informal Patent Application
- 6) ☐ Other: _____

DETAILED ACTION

1. Claims 21-36 are pending.

Claim Objections

2. Claims 34 and 35 objected to because of the following informalities:
 - Claim 34, lines 5-6 contains the grammatical error, "as output variables actual values".
 - Claim 35, line 5 contains the grammatical error, "an power controller".

Appropriate correction is required.

Claim Rejections - 35 USC § 112

3. The following is a quotation of the second paragraph of 35 U.S.C. 112:

The specification shall conclude with one or more claims particularly pointing out and distinctly claiming the subject matter which the applicant regards as his invention.
4. Claims 26-28 are rejected under 35 U.S.C. 112, second paragraph, as being indefinite for failing to particularly point out and distinctly claim the subject matter which applicant regards as the invention.
5. The following claims recite the following limitations:
 - Claim 26, line 4 recites "the offset".
 - Claim 27, line 8 recites "the controllers".

- Claim 28, line 3 recites "the controllers".

There is insufficient antecedent basis for this limitation in the claim.

Claim Rejections - 35 USC § 102

6. The following is a quotation of the appropriate paragraphs of 35 U.S.C. 102 that form the basis for the rejections under this section made in this Office action:

A person shall be entitled to a patent unless –

(b) the invention was patented or described in a printed publication in this or a foreign country or in public use or on sale in this country, more than one year prior to the date of application for patent in the United States.

7. Claims 21-24, 27-29 and 32 are rejected under 35 U.S.C. 102(b) as being anticipated by U.S. Patent No. 6,171,055 (hereinafter Vos).

8. As per claim 21, Vos discloses a multivalued control system, comprising:

a controlled multivalued system (col. 5, lines 24-29 and Fig. 1) including a plurality of correcting variables as input variables (col. 6, lines 1-8 and 13-19) and a plurality of controlled variables as output variables (col. 5, lines 65-67);

a plurality of controllers (col. 7, lines 2-8 and 10-15 and Fig. 2, element 66 and 68);

a plurality of comparators (Fig. 2) configured to ascertain control deviations and to supply a control deviation to each controller as an input variable (col. 7, lines 2-15);
and

a conversion device (Fig. 1, element 30), input variables of the conversion device corresponding to output variables of the controllers, the conversion device configured to calculate, at least from the output variables of the controllers, the correcting variables, the conversion device configured to superimpose, on the output variables of the controllers (col. 7, lines 2-8 and 10-15), an input control component that is a function of an actual value to calculate the correcting variables (col. 6, lines 1-8 and 13-19).

9. As per claim 22, Vos discloses the conversion device is configured to calculate the correcting values by an offset of the output variables of the controllers against each other (col. 7, lines 24-37; i.e. the curves of thrust and thrust efficiency).

10. As per claim 23, Vos discloses the conversion device is configured to offset the output variables of the controllers as a function of the controlled multivalued system (col. 7, lines 24-37; i.e. the curves of thrust and thrust efficiency).

11. As per claim 24, Vos discloses a first controlled variable conversion device (Fig. 1, element 30), the controlled variables arranged to be supplied to the first controlled variable conversion device as input variables (col. 6, lines 1-8 and 13-19), the first controlled variable conversion device configured to ascertain output variables from the controlled variables and to supply the output variables to the comparators (Fig. 2) as first input variables (col. 7, lines 2-8).

12. As per claim 27, Vos discloses a method for controlling a controlled multivalue system, comprising:

supplying a plurality of correcting variables to the controlled multivalue system (col. 5, lines 24-29 and Fig. 1) as input variables (col. 7, lines 24-37; i.e. the curves of thrust and thrust efficiency);

offsetting a plurality of controlled variables against one another as output variables of the controlled multivalue system to ascertain control deviations (col. 7, lines 2-15);

supplying each control deviation to a controller (Fig. 2, element 66 and 68) as an input variable (col. 7, lines 2-15);

supplying output variables from the controllers to a conversion device (Fig. 1, element 30) as input variables (col. 6, lines 1-8 and 13-19); and

calculating the correcting variables in the conversion device (Fig. 1, element 30) at least from the output variables from the controllers (col. 6, lines 1-8 and 13-19 and col. 7, lines 2-15), the calculating including offsetting the output variables of the controllers against each other using an input control component that is a function of an actual value (col. 6, lines 1-8 and 13-19 and col. 7, lines 2-15).

13. As per claim 28, Vos discloses ascertaining the correcting variables in accordance with the offsetting of the output variables of the controllers against each other (col. 7, lines 24-37; i.e. the curves of thrust and thrust efficiency).

14. As per claim 29, Vos discloses supplying the controlled variables of the controlled multivalue system to a first controlled variable conversion device as input variables (col. 6, lines 1-8 and 13-19 and col. 7, lines 2-8);

ascertaining output variables by the first controlled variable conversion device from the controlled variables (col. 7, lines 2-8); and

supplying the output variables ascertained by the first controlled variable conversion device to comparators as first input variables (col. 7, lines 2-8).

15. As per claim 32, Vos discloses a method for controlling a propeller power unit, comprising:

controlling a propeller speed and a propeller performance as controlled variables (col. 5, lines 65-67 and col. 6, lines 13-24);

supplying a propeller blade angle of incidence (i.e. the curves of the map are characterized by a function of pitch angle) and a fuel stream (the curves of the map are characterized by a function of fuel consumption) to the propeller power unit as correcting variables (col. 7, lines 24-30);

supplying output variables from controllers to a conversion device (Fig. 2, element 62 (incorporated in Fig. 1, element 30) as input variables (col. 6, lines 6-8);

ascertaining, by the conversion device, the propeller blade angle of incidence (i.e. the curves of the map are characterized by a function of pitch angle) and the fuel stream (the curves of the map are characterized by a function of fuel consumption) as

the controlled variables from the output variables from the controllers (col. 6, lines 6-8 and col. 7, lines 2-15) ;

offsetting, in the conversion device (Fig. 2, element 62), the output variables from the controllers against each other (col. 7, lines 15-19); and

offsetting, in the conversion device (Fig. 2, element 62), the output variables from the controllers using an input control component that is a function of an actual value (col. 6, lines 6-8 and col. 7, lines 2-19).

Claim Rejections - 35 USC § 103

16. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

17. Claims 25, 26, 30 and 31 are rejected under 35 U.S.C. 103(a) as being unpatentable over Vos in view of U.S. Patent No. 5,951,608 (hereinafter Osder).

18. As per claim 25, Vos does not expressly teach a second controlled variable conversion device, setpoint values of the controlled variables configured to be supplied to the second controlled variable conversion device as input variables, the second controlled variable conversion device configured to ascertain output values from the

setpoint values and to supply the output values to the comparators as second input variables.

Osdeer teaches a second controlled variable conversion device (Fig. 6, element 524), setpoint values (Fig. 6, element 522) of the controlled variables configured to be supplied to the second controlled variable conversion device as input variables (col. 10, lines 48-59), the second controlled variable conversion device configured to ascertain output values from the setpoint values and to supply the output values to the comparators (Fig. 6, element 520) as second input variables (col. 10, lines 40-47 and col. 11, lines 4-10).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time of applicant's invention to modify the teaching of Vos to include a second controlled variable conversion device, setpoint values of the controlled variables configured to be supplied to the second controlled variable conversion device as input variables, the second controlled variable conversion device configured to ascertain output values from the setpoint values and to supply the output values to the comparators as second input variables to avoid entering autogyro states, and not requiring flight path changes, such as dives, to enter a conversion regime where the rotor is stopped (col. 2, lines 2-6).

19. As per claim 26, Vos does not expressly teach the comparators are configured to offset the first input variables against corresponding second input variables and to supply control deviations resulting from the offset to the controllers as input variables.

Osder teaches the comparators (Fig. 6, element 520) are configured to offset the first input variables (col. 10, lines 40-45) against corresponding second input variables and to supply control deviations resulting from the offset to the controllers as input variables (col. 11, lines 4-10 and 32-35).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time of applicant's invention to modify the teaching of Vos to include the comparators are configured to offset the first input variables against corresponding second input variables and to supply control deviations resulting from the offset to the controllers as input variables to avoid entering autogyro states, and not requiring flight path changes, such as dives, to enter a conversion regime where the rotor is stopped (col. 2, lines 2-6).

20. As per claim 30, Vos does not expressly teach supplying setpoint values of the controlled variables to a second controlled variable conversion device as input variables; ascertaining output variables by the second controlled variable conversion device from the setpoint values; and supplying the output variables ascertained by the

second controlled variable conversion device to the comparators as second input variables.

Osder teaches supplying setpoint values (Fig. 6, element 522) of the controlled variables to a second controlled variable conversion device (Fig. 6, element 524) as input variables (col. 10, lines 48-59); ascertaining output variables by the second controlled variable conversion device from the setpoint values (col. 10, lines 40-59); and supplying the output variables ascertained by the second controlled variable conversion device to the comparators (Fig. 6, element 520) as second input variables (col. 10, lines 40-47 and col. 11, lines 4-10).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time of applicant's invention to modify the teaching of Vos to include supplying setpoint values of the controlled variables to a second controlled variable conversion device as input variables; ascertaining output variables by the second controlled variable conversion device from the setpoint values; and supplying the output variables ascertained by the second controlled variable conversion device to the comparators as second input variables to avoid entering autogyro states, and not requiring flight path changes, such as dives, to enter a conversion regime where the rotor is stopped (col. 2, lines 2-6).

21. As per claim 31, Vos does not expressly teach offsetting the first input variables of the comparators and corresponding second input variables of the comparators against each other; and supplying control deviations resulting from the offsetting of the first input variables of the comparators and the corresponding second input variables of the comparators to the controllers as input variables.

Osder teaches offsetting the first input variables of the comparators (Fig. 6, element 520) and corresponding second input variables of the comparators against each other (col. 10, lines 40-45); and supplying control deviations resulting from the offsetting of the first input variables of the comparators and the corresponding second input variables of the comparators to the controllers as input variables (col. 11, lines 4-10 and 32-35).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time of applicant's invention to modify the teaching of Vos to include the first input variables of the comparators and corresponding second input variables of the comparators against each other; and supplying control deviations resulting from the offsetting of the first input variables of the comparators and the corresponding second input variables of the comparators to the controllers as input variables to avoid entering autogyro states, and not requiring flight path changes, such as dives, to enter a conversion regime where the rotor is stopped (col. 2, lines 2-6).

22. Claims 33 is rejected under 35 U.S.C. 103(a) as being unpatentable over Vos in view of U.S. Patent No. 6,856,039 (hereinafter Mikhail).

23. As per claim 33, Vos teaches supplying the propeller speed and the propeller performance (col. 5, lines 65-67 and col. 6, lines 13-24) as the correcting variables of the propeller power unit to a first controlled variable conversion device as input variables (col. 7, lines 24-30); and

outputting, by the first controlled variable conversion device, as output variables actual values (col. 6, lines 6-8 and col. 7, lines 2-19).

Vos does not expressly teach output variables actual variables for the propeller speed and a turbine output.

Mikhail teaches output variables actual variables for the propeller speed and a turbine output (col. 5, lines 45-52).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time of applicant's invention to modify the teaching of Vos to include output variables actual variables for the propeller speed and a turbine output to provide maximal energy capture, torque control, elimination of voltage flicker, as well as power factor control (col. 20, lines 10-13).

24. Claims 34-36 are rejected under 35 U.S.C. 103(a) as being unpatentable over Vos in view of Mikhail in further view of Osder.

25. As per claim 34, Vos does not expressly teach supplying setpoint values for the propeller speed and the propeller performance to a second controlled variable conversion device as input variables; and outputting, by the second controlled variable conversion device, setpoint values for the propeller speed and the turbine output.

Mikhail teaches supplying setpoint values for the propeller speed (col. 7, lines 51-53 and col. 8, lines 47-54 and 66-67) and the propeller performance (col. 5, lines 24-44, col. 11, lines 61-67 and col. 12, lines 1-3).

Mikhail does not expressly teach supplying setpoint values to a second controlled variable conversion device as input variables; and outputting, by the second controlled variable conversion device, setpoint values for the output.

Osder teaches supplying setpoint values (Fig. 6, element 522) to a second controlled variable conversion device (Fig. 6, element 524) as input variables (col. 10, lines 48-59); and outputting, by the second controlled variable conversion device, setpoint values for the output (col. 10, lines 40-59).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time of applicant's invention to modify the teaching of Vos to include supplying setpoint values for the propeller speed and the propeller performance to provide

maximal energy capture, torque control, elimination of voltage flicker, as well as power factor control (Mikhail: col. 20, lines 10-13); and supplying setpoint values to a second controlled variable conversion device as input variables; and outputting, by the second controlled variable conversion device, setpoint values for the output to avoid entering autogyro states, and not requiring flight path changes, such as dives, to enter a conversion regime where the rotor is stopped (Osder: col. 2, lines 2-6).

26. As per claim 35, Vos does not expressly teach ascertaining corresponding control deviations from the actual values and corresponding setpoint values for the propeller speed and the turbine output; supplying the propeller speed control deviation to a speed controller; and supplying the turbine output control deviation to an power controller.

Mikhail teaches ascertaining corresponding control deviations from the actual values and corresponding setpoint values for the propeller speed (col. 7, lines 51-53 and col. 8, lines 47-54 and 66-67) and the turbine output (col. 5, lines 24-44, col. 11, lines 61-67 and col. 12, lines 1-3); supplying the propeller speed control deviation to a speed controller (col. 7, lines 51-53 and col. 8, lines 47-54 and 66-67); and supplying the turbine output control deviation to an power controller (col. 5, lines 24-44, col. 11, lines 61-67 and col. 12, lines 1-3).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time of applicant's invention to modify the teaching of Vos to include ascertaining corresponding control deviations from the actual values and corresponding setpoint values for the propeller speed and the turbine output; supplying the propeller speed control deviation to a speed controller; and supplying the turbine output control deviation to a power controller to provide maximal energy capture, torque control, elimination of voltage flicker, as well as power factor control (col. 20, lines 10-13).

27. As per claim 36, Vos teaches wherein the propeller blade angle of incidence and the fuel stream are ascertained in the propeller blade angle of incidence (i.e. the curves of the map are characterized by a function of pitch angle) and the fuel stream (the curves of the map are characterized by a function of fuel consumption) ascertaining step in the conversion device (col. 6, lines 6-8 and col. 7, lines 2-15).

Vos does not expressly teach outputting a torque request as an output variable by the speed controller; and outputting a turbine output request as an output variable by the power controller; wherein the propeller blade angle of incidence and the fuel stream are ascertained in the propeller blade angle of incidence and the fuel stream ascertaining step in the conversion device from the torque request and the turbine output request.

Mikhail teaches outputting a torque request as an output variable by the speed controller (col. 7, lines 51-53 and col. 8, lines 47-54 and 66-67); and

outputting a turbine output request as an output variable by the power controller (col. 5, lines 24-44, col. 11, lines 61-67 and col. 12, lines 1-3);

wherein the parameters are ascertained in the parameter ascertaining step in the conversion device from the torque request (col. 7, lines 51-53 and col. 8, lines 47-54 and 66-67) and the turbine output request (col. 5, lines 24-44, col. 11, lines 61-67 and col. 12, lines 1-3).

Therefore, it would have been obvious to a person of ordinary skill in the art at the time of applicant's invention to modify the teaching of Vos to include outputting a torque request as an output variable by the speed controller; and outputting a turbine output request as an output variable by the power controller; wherein the parameters are ascertained in the parameter ascertaining step in the conversion device from the torque request and the turbine output request to provide maximal energy capture, torque control, elimination of voltage flicker, as well as power factor control (col. 20, lines 10-13).

Conclusion

The prior art made of record and not relied upon is considered pertinent to applicant's disclosure.

The following references are cited to further show the state of the art with respect to airplane/helicopter control systems.

U.S. Patent Publication No. 2003/0140614 discloses a fuel injection control system for a turbine engine.

U.S. Patent No. 3,356,152 discloses an aircraft propulsion system having a turbine engine and connected variable pitch propeller and having a power management control responsive to manual inputs to provide optimum fuel economy.

U.S. Patent No. 3,963,372 discloses a control system for a helicopter power plant with three power units driving the lifting rotor system.

U.S. Patent No. 5,209,640 discloses a pitch control apparatus for a variable pitch propeller for drive connection to an aircraft engine.

U.S. Patent No. 5,214,596 discloses a system for determining the airspeed of helicopters by using the cyclic and collective control position signals and the attitude angles for the pitch and roll attitudes.

U.S. Patent No. 5,303,142 discloses a engine control system for helicopters and the like in which free turbine speed is isochronously controlled by a more robust control system having increased bandwidth due to loop architecture and filter implementation accomplished via closed loop control.

U.S. Patent No. 5,841,652 discloses an Adaptive-Predictive Control and Optimization System enables a designer to construct a control and optimization scheme using graphical operators to represent adaptive-predictive controllers and to represent complementary operating logic operations.

U.S. Patent No. 6,476,510 discloses a system, method, and apparatus for power regulation as disclosed herein, a load power demand value determines a power supply behavior that is optimal with respect to some criterion (e.g., fuel consumption).

U.S. Patent No. 6,468,035 discloses a method which enables easier control of a reciprocating engine of an airplane and which sets the engine in such an operating condition in a partial power mode as to maximize the efficiency of the airframe and the engine as a whole, and to an apparatus for controlling an airplane engine.

U.S. Patent No. 6,592,071 discloses a flight control system includes a lift control algorithm which selectively communicates with the pitch command of the flight system control algorithm.

U.S. Patent No. 6,859,689 discloses a method and apparatus are provided for controlling a dynamic device having multi-inputs and operating in an environment having multiple operating parameters.

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Jennifer L. Norton whose telephone number is (571)272-3694. The examiner can normally be reached on 8:00 a.m. - 4:30 p.m..

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Albert DeCady can be reached on 571-272-3819. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

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